



UNITED STATES DEPARTMENT OF EDUCATION
OFFICE OF THE DEPUTY SECRETARY

December 22, 2011

The Honorable Governor Corbett
Office of the Governor
225 Main Capitol Building
Harrisburg, PA 17120

Dear Governor Corbett,

Congratulations on receiving a Race to the Top Phase 3 award. I am pleased to provide the enclosed grant award notification (GAN) for your State's Race to the Top Phase 3 funds authorized under section 14006 of the American Recovery and Reinvestment Act and funded under Public Law 112-10, the Department of Defense and Full-Year Continuing Appropriations Act, 2011. These funds are being awarded on the basis of your requested budget of \$41,326,299.

This award is subject to the attached grant conditions related to administering the grant, performance measures, monitoring sub-recipients, and maintaining adequate financial controls. As part of the conditions of receiving Race to the Top Phase 3 funding, Pennsylvania may not draw down any grant funds until it submits its final approved application signed by the Governor or authorized designee and the Department's final budget review is complete (approximately January 30, 2012). At that point, Pennsylvania may begin to draw down no more than 12.5 percent of the grant (\$5,165,787) until all of the required State and local educational agency (LEA) Scopes of Work, including participating LEA information, detailed timelines, and budgets referenced in condition B, are submitted to and approved by the Department. (Twelve and a half percent is equivalent to one year of the State's portion of the funding.) Funds may be drawn down only as they are needed, and their use must be consistent with the implementation of Pennsylvania's Race to the Top plan and in support of accomplishing its proposed milestones. The required Scopes of Work must be submitted no later than April 2, 2012.

If you have any questions concerning your Race to the Top Phase 3 award, please contact Richard Payton at richard.payton@ed.gov or 202-453-7698. I look forward to working with you and your team over the next four years as you implement your bold education reform agenda.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ann Whalen', with a stylized, cursive script.

Ann Whalen
Director, Policy and Program Implementation
Implementation and Support Unit

cc: Secretary Tomalis
Margaret Clark

Enclosure: Grant Award Notification with Attachments



**US Department of Education
Washington, D.C. 20202
GRANT AWARD NOTIFICATION**

1 RECIPIENT NAME Commonwealth of Pennsylvania 333 Market Street, 10th Floor Harrisburg, PA 17126	2 AWARD INFORMATION PR/AWARD NUMBER B413A120004 ACTION NUMBER 1 ACTION TYPE New AWARD TYPE Discretionary																				
3 PROJECT STAFF RECIPIENT PROJECT DIRECTOR Margaret Clark (717) 787-9744 <u>margclark@pa.gov</u> EDUCATION PROGRAM CONTACT Richard J Payton (202) 453-7698 <u>richard.payton@ed.gov</u> EDUCATION PAYMENT HOTLINE G5 PAYEE 888-336-8930 HELPDESK <u>edcaps.user@ed.gov</u>	4 PROJECT TITLE 84.413A Race to the Top - Phase 3																				
5 KEY PERSONNEL <table border="0"> <thead> <tr> <th><u>NAME</u></th> <th><u>TITLE</u></th> <th><u>LEVEL OF EFFORT</u></th> </tr> </thead> <tbody> <tr> <td>Margaret Clark</td> <td>Project Director</td> <td align="right">0 %</td> </tr> </tbody> </table>		<u>NAME</u>	<u>TITLE</u>	<u>LEVEL OF EFFORT</u>	Margaret Clark	Project Director	0 %														
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9 LEGISLATIVE AND FISCAL DATA AUTHORITY: PL 112-10 DOD AND FULL-YEAR CONTINUING APPROPS ACT 2011 ARRA 2009, DIVISION A, SECTION 14006, PUBLIC LAW 111-5 PROGRAM TITLE: RACE TO THE TOP CFDA/SUBPROGRAM NO: 84.413A <table border="1"> <thead> <tr> <th>FUND CODE</th> <th>FUNDING YEAR</th> <th>AWARD YEAR</th> <th>ORG. CODE</th> <th>CATEGORY</th> <th>LIMITATION</th> <th>ACTIVITY</th> <th>CFDA</th> <th>OBJECT CLASS</th> <th>AMOUNT</th> </tr> </thead> <tbody> <tr> <td>0204M</td> <td>2011</td> <td>2012</td> <td>EB000000</td> <td>B</td> <td>UH1</td> <td>000</td> <td>413</td> <td>4101C</td> <td>\$41,326,299.00</td> </tr> </tbody> </table>		FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0204M	2011	2012	EB000000	B	UH1	000	413	4101C	\$41,326,299.00
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**US Department of Education
Washington, D.C. 20202
GRANT AWARD NOTIFICATION**

10

PR/AWARD NUMBER: B413A120004
RECIPIENT NAME: Commonwealth of Pennsylvania

TERMS AND CONDITIONS

- (1) By accepting this award, the recipient agrees to notify the relevant program office in the Department and provide a reasonable period of time for the Department to respond, before it can agree to provide any portion of this award to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries. This condition takes into account Division B, Title I, Section 1104, of the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (P. Law No. 112-10), which incorporates the conditions specified in Division E, Section 511 of the Consolidated Appropriations Act, 2010 (P. Law. No. 111-117), and pending litigation on related matters. If you have any questions about this condition or the status of these matters, please contact the program office.
- (2) THE FOLLOWING ITEMS ARE INCORPORATED IN THE GRANT AGREEMENT:
 - (1) THE RECIPIENT'S APPLICATION (BLOCK 2),
 - (2) THE APPLICABLE EDUCATION DEPARTMENT REGULATIONS (BLOCK 8), AND
 - (3) THE SPECIAL TERMS AND CONDITIONS SHOWN AS ATTACHMENTS (BLOCK 8).

IN ACCORDANCE WITH 34 CFR 74.25(c)(2), OR 34 CFR 80.30(d)(3) CHANGES TO KEY PERSONNEL IDENTIFIED IN BLOCK 4 MUST RECEIVE PRIOR APPROVAL FROM THE DEPARTMENT.

THE RECIPIENT IS REQUIRED TO SUBMIT ALL NECESSARY REPORTS TO THE DEPARTMENT OF EDUCATION WITHIN 90 DAYS AFTER THE END OF FEDERAL SUPPORT (BLOCK 6).

- (3) This grant award is subject to the terms and conditions identified in Attachments B and T.


AUTHORIZING OFFICIAL


DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

1. RECIPIENT NAME - The legal name of the recipient, name of the primary organizational unit that

2. AWARD INFORMATION - Unique items of information that identify this notification.

PR/AWARD NUMBER - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number."

ACTION NUMBER - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"

ACTION TYPE - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)

AWARD TYPE - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK.

3. PROJECT STAFF - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.

***RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.

EDUCATION PROGRAM CONTACT - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.

EDUCATION PAYMENT CONTACT - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.

4. PROJECT TITLE AND CFDA NUMBER - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.

5.* KEY PERSONNEL - Name, title and percentage (%) of effort the key personnel identified devotes to the project.

6. AWARD PERIODS - Project activities and funding are approved with respect to three different time periods, described below:

BUDGET PERIOD - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.

PERFORMANCE PERIOD - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.

***FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.

7. AUTHORIZED FUNDING - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.

***THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.

***BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.

***PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.

RECIPIENT COST SHARE - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.

RECIPIENT NON-FEDERAL AMOUNT - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.

8. ADMINISTRATIVE INFORMATION - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS** - The parts of the Education Department General Administrative Regulations (EDGAR) and specific program regulations that govern the award and administration of this grant.

***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS -

The fiscal information recorded by the U.S. Department of Education's Grant Administration and Payment System to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:

(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.