

Request for Grant Application Guidelines Tutoring Program for Adults

For services offered with the following funding:

Pennsylvania Act 143 of 1986, Title 24, Chapter 31
Adult and Family Literacy Education Act

May 2022



**COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF EDUCATION**

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Tutoring Program for Adults Grant

INTRODUCTION

Pennsylvania Act 143 of 1986 (Act 143), Adult and Family Literacy Education Act, provides funds to be awarded through the Pennsylvania Department of Education (PDE), Bureau of Postsecondary and Adult Education, Division of Adult Education to provide adult and family literacy education services throughout the state. The statute requires that at least 20 percent of the annual state appropriation used for adult basic education programs be used to train volunteer adult education instructors and tutors. To meet this requirement, the Division has established two programs: the Volunteer Classroom Aide Program, which is a component of the Adult Basic Education Direct Services grants, and the Tutoring Program for Adults. The estimated total funding available for awards for the Tutoring Program for Adults for fiscal year (FY) 2022-23 is \$909,375.00. This amount is based on the Adult and Family Literacy line item in the governor's proposed FY 2022-23 state budget and is subject to change.

GENERAL INFORMATION

Program Purpose

As stated in statute, the intent of [Pennsylvania Act 143 Adult and Family Literacy Education Act](#) is to provide coordination and broaden the scope of educational activities to uneducated and undereducated adults in the commonwealth and their families, including those who speak other languages, and to provide programs to those individuals who have previously been unserved. The goals of the act include:

1. Increased and improved services to adult learners and their families through the coordination of funding streams and programs across state agencies; and
2. Expanded adult and family literacy education programs so that adults and their families will function more effectively in their personal lives and as citizens and be better prepared for workforce training and employment that they may become more responsible and productive members of society.

Under Act 143, adult and family literacy education providers may apply to PDE for grants to provide the following services:

1. Adult literacy education programs for eligible adults;
2. Family literacy education programs for eligible parents and their children;
3. Training for volunteer adult literacy education instructors; and
4. Administration, support services for learners, and outreach activities.

This grant opportunity is for programs to train volunteer adult literacy education instructors, called tutors, and support those tutors to provide instruction to students participating in adult education and literacy activities. Grantees may have community-based tutoring programs, peer tutoring programs, or both. Interested applicants should refer to Appendix F in the *Adult*

Education and Family Literacy Program Guidelines for Program Year 2022-23 for the requirements for each type of tutoring program.

State-Imposed Minimum Program Requirements

Full details regarding all minimum requirements for Tutoring Program for Adults programs are available in Appendix F of the *Adult Education and Family Literacy Program Guidelines*. For the purposes of the competition, a draft version of the Adult Education and Family Literacy Program Guidelines for Program Year 2022-23 is on the [Division of Adult Education Grant Competitions](#) webpage.

Successful applicants must have the proposed tutoring programs established and providing services, including tutoring services to students, as proposed in the grant application by September 30, 2022. Failure to meet this condition will invoke payment conditions of Appendix C of the grant agreement. The grantee will be determined not to have met the contract obligations, and the grant agreement will be terminated for cause.

Eligible Applicants

In Act 143, eligible applicants for funds are local education providers, defined in the Act as an approved educational agency, institution, or organization making use of either professional or voluntary personnel, which may be any of the following:

1. School districts;
2. Intermediate units;
3. Area career and technical centers/vocational-technical schools;
4. Community colleges;
5. Literacy councils;
6. Local libraries;
7. Community-based organizations, which are private, nonprofit organizations and are representative of communities or significant parts of communities and which provide adult or family literacy education programs; and
8. Any other educational entity recognized by the Secretary of Education for providing appropriate and effective adult or family literacy education programs.

For the purposes of this competition, a consortium or coalition of any of the above listed local education providers is also an eligible applicant. A consortium or coalition will be defined as a main grantee with one or more subgrantees. The main grantee will serve as both the fiscal agent for the grant and a provider of some of the services proposed in the grant application. The main grantee is responsible for ensuring that all activities provided are completed as proposed and is responsible for monitoring and compliance of the subgrantees. Applicants cannot subcontract with another applicant for a portion of the proposed services. Agencies can only be a main grantee or a subgrantee. Answers in the Narrative and Agency Information sections of the grant application should reflect the work of the consortium/coalition rather than treat each entity separately, except where otherwise noted.

Establishing Demonstrated Effectiveness

PDE uses the state funds appropriated under Act 143 to meet its cost-sharing requirements for federal funds under the Workforce Innovation and Opportunity Act (WIOA). The United States Department of Education, therefore, requires that entities receiving grants under these state funds meet WIOA's definition of an eligible provider being an organization that has demonstrated effectiveness in providing adult education and literacy activities.

For the purposes of establishing demonstrated effectiveness to qualify as an eligible provider for the Tutoring Program for Adults, an applicant must provide performance data on its record of improving the skills of eligible individuals, particularly eligible individuals who have low levels of literacy, and information regarding its outcomes for participants related to employment, attainment of a secondary school diploma or its equivalent, and transition to postsecondary education and training. An applicant that has been previously funded under Title II of WIOA must submit performance data required under section 116 of WIOA. An applicant that has not been previously funded under Title II of WIOA must provide performance data to demonstrate its past effectiveness. Each individual agency in a consortium or coalition of agencies must be an eligible provider by itself (i.e., must be an organization that has demonstrated effectiveness in providing adult education and literacy activities) and must individually provide all data required to establish demonstrated effectiveness.

PDE will require applicants to provide quantitative data for the immediately preceding three program years. Applicants **are not** limited to data related to students who participated in one-on-one or small group tutoring during that period. The data provided by the applicant to establish demonstrated effectiveness must include the following:

1. The number of individuals in the outcome cohort (i.e., the denominator);
2. The number of individuals who achieved the outcomes (i.e., the numerator); and
3. The resulting percentage of individuals who achieved the outcome.

The tables that applicants will use to provide data for the purposes of establishing demonstrated effectiveness to qualify as an eligible provider are on the [Division of Adult Education Grant Competitions](#) webpage. Applicants must download and complete the appropriate table and then upload the completed document into the *Demonstrated effectiveness to determine eligibility* section in the application in eGrants. In the case of a consortium or coalition of agencies, each agency must complete a table with its own data and each table must be uploaded separately.

PDE has established the following minimum threshold for an applicant to be determined to be an eligible provider for the purposes of this section:

1. A total number of enrolled individuals greater than or equal to 15 in each of the three years.

Prior to reviewing and scoring submitted applications, PDE will review the information provided by each applicant, including each agency in a consortium or coalition of agencies, to establish demonstrated effectiveness to determine if that applicant is an eligible provider. Only applications that are determined to be from eligible providers will be reviewed, scored, and

considered for funding. In the case of a consortium or coalition of agencies, all agencies in the consortium or coalition must be determined to be eligible providers. Applicants that are not able to establish demonstrated effectiveness under the criteria above are not eligible providers and their applications will not be reviewed, scored, and considered for funding. Applicants determined not to be eligible providers will be notified of that decision.

PDE reserves the right to require successful applicants to submit documentation in support of the information provided to establish demonstrated effectiveness.

Eligible Program Participants

Successful applicants for the Tutoring Program for Adults will recruit, train, and support volunteer tutors who provide adult education and literacy activities to individuals who meet either the definition of an eligible individual in WIOA or the definition of an eligible adult in Act 143.

An eligible individual is defined by WIOA as an individual:

1. Who has attained 16 years of age;
2. Who is not enrolled or required to be enrolled in secondary school under state law and;
3. Who is:
 - a. Basic skills deficient;
 - b. Does not have a secondary school diploma or its recognized equivalent, and has not achieved an equivalent level of education; or
 - c. Is an English language learner.

An eligible adult is defined in Act 143 as a person who meets the following criteria:

1. Is 17 years of age or older;
2. Is a resident of Pennsylvania; and
3. Is not currently enrolled in a public or private secondary or postsecondary school.

Funding Priorities

In awarding funds, PDE will prioritize funding, through the review and rating process, to grant applications from eligible providers with past effectiveness in providing high-quality tutoring services to adults that improve the skills of eligible participants and help those participants to earn high school equivalency credentials, gain and retain employment, and transition to postsecondary education or training. PDE will prioritize funding for those applications that describe proposed services that include:

1. A thorough and detailed plan for providing the required services;
2. A detailed plan for recruiting tutors;
3. A training plan to provide tutors with the knowledge and skills needed to design lesson plans and deliver instruction;
4. Evidence of the applicant's organizational capacity to meet all the programmatic, reporting, administrative, and fiscal requirements of the grant;
5. A variety of instructional options and intensity of instruction to meet the needs of students, including the use of distance learning options;

6. High-quality instruction provided by tutors that is based on best practices and the *College and Career Readiness Standards for Adult Education* and is of sufficient intensity to lead to student outcomes; and
7. High-quality student support services that help students to persist and succeed in adult basic education services and meet their personal, educational, and career goals.

Federal WIOA Title II Grant Considerations and Requirements

PDE uses the state funds appropriated under Act 143 to meet its cost-sharing requirements for federal funds under WIOA. The United States Department of Education, therefore, requires PDE to follow subpart C of WIOA Title II, which establishes requirements for the grant competition process. The requirements include seven items that applicants must address in their grant applications and 13 items that PDE must take into consideration when awarding grants. In addition, section 427 of the General Education Provisions Act (GEPA) requires applicants to describe the steps they will take to ensure equitable access to, and participation in, the grant-funded program. This Request for Grant Application Guidelines and the questions in the grant narrative are designed to ensure that all necessary information is included. Applicants will address the seven required items, the 13 considerations, and section 427 of GEPA by providing complete answers to the questions in the grant application. Applicants should review information in the Help Buttons for each question in eGrants to make sure they provide complete answers. The Division of Adult Education strongly encourages applicants to refer to [*Programs and Activities Authorized by the Adult Education and Family Literacy Act \(Title II of the WIOA\) — Final Rule §463.20 and §463.22*](#) when completing the grant application.

Grant Terms and Conditions

Multi-Year Contracts

Successful applicants will be approved for a two-year grant cycle. Grant funds will be awarded through annual one-year notifications of funding contingent on the availability of funds. Each year, grantees will submit budgets and program year-specific information via the eGrants system to receive funding. Each year's renewal option and grant amount will be based on the following criteria:

1. Contract compliance, including success in recruiting and training the contracted number of tutors, serving the proposed number of students, and providing the contracted services;
2. Evidence of sufficient progress in meeting the state-imposed performance standards and the targets proposed in the grant application and finalized through negotiation with PDE;
3. Evidence of continuous program improvement;
4. Compliance with fiscal and programmatic policies and guidelines; and
5. The amount of the state appropriation.

Grantees that fail to sufficiently address the above criteria may be terminated prior to the end of the grant cycle.

Program Funding Requests

Applicants should apply for those funds necessary to meet the grant requirements, provide the proposed services, and meet the state-imposed performance standards and the Tutoring

Program for Adults targets. The Division of Adult Education will expect successful applicants requesting higher amounts to prioritize providing intensive one-on-one tutoring services to students at Adult Basic Education (ABE) Levels 1-2 and English-as-a-Second-Language (ESL) Levels 1-2. PDE reserves the right to adjust the actual grant award amount and contracted number of tutors of successful applicants during the grant contract approval process.

Grant Application Components

The Tutoring Program for Adults grant application consists of three sections: Narrative, Agency Information, and Budget. A document explaining how to create the grant application in eGrants will be posted on the [Division of Adult Education Grant Competitions](#) webpage.

Narrative

The narrative addresses the seven required items, the 13 considerations, PDE requirements, section 427 of GEPA, and how the applicant will use the grant funds to support the proposed activities. It is the basis for analysis of the applicant's ability to provide the required services. Online contextual help is available by clicking on the Help icons in eGrants.

Agency information

The Agency Information section provides detailed information regarding proposed Tutoring Program outcome targets, staff qualifications, the tutoring plan, the proposed number of tutors and students to be served by tutors, program contact information, and assurances. The information provided in this section will be rated for its alignment with and support of the information provided in the narrative. Online contextual help is available by clicking on the Help icons in eGrants.

Budget

The budget demonstrates fiscally sound allocation of funds to successfully provide education services. Online contextual help is available by clicking on the Help icons in eGrants.

When completing the budget, refer to the *Adult Education and Family Literacy Guidelines* Section 600 for fiscal guidance and information on grant limitations and cost functions and object codes.

Application Review

All applications will be reviewed except those disqualified for one or more of the following reasons:

1. Entity submitting the application does not meet the definition of Eligible Applicant;
2. Applicant does not answer one or more of the required questions in the narrative; and/or
3. Application is not completed by the application deadline. Completion will be determined by the time the eGrants system records that the program completed the application by marking all sections complete and clicking the "Complete" button.

Grant applications will be reviewed and scored by teams of no fewer than three individuals comprised of staff from PDE, other commonwealth agencies, and/or peer reviewers. Peer reviewers will be non-applicants who have experience in adult basic education or related

activities. Reviewers will be screened for conflict of interest and will not benefit financially from grant awards.

Depending on the number of applications either a weighted method or a Z-scoring method will be used. In the weighted scoring method, a single team reviews and rates all applications. In the Z-scoring method, applicants are distributed to several teams for review and rating. Scores are then calculated using a standard deviation formula which evens out high and low raters.

Grant Award Selection and Notification Process

Award Selection

Reviewers will use an application review guide to review and score applications. Applications will be funded from highest scoring to lowest scoring based on the following criteria:

1. A minimum score of 97, and
2. Availability of funds.

Applications with a score of less than 97 will not be funded regardless of availability of funds.

Applications that are selected for award as a result of the application review and scoring process may require revisions or submission of additional information during the contract review and approval process.

Notification Process

The Division of Adult Education will notify each applicant of the outcome of the review process and whether its application will be funded.

Appeal Process

If an eligible provider disputes the funding decision, it has a right to appeal the decision to the Pennsylvania Secretary of Education. The appeal will be considered in accordance with the procedural rules set forth under 34 C.F.R. § 76.401(d) and 1 Pa. Code § 35.1 et seq., the General Rules of Administrative Practice and Procedure. A final decision of the Pennsylvania Secretary of Education may be appealed to the U.S. Secretary of Education under 34 C.F.R. § 76.401. The appeal of this decision must be received by the Pennsylvania Secretary of Education within 30 days of the mailing date of this decision at the following address:

Eric Hagarty
Acting Secretary of Education
Pennsylvania Department of Education
333 Market Street, 10th Floor
Harrisburg, PA 17126-0333

GRANT REQUIREMENTS

1. Grant applications will be submitted through the [eGrants](#) system.
2. For access to the eGrants system and the related grant opportunities, prospective applicants should send an email to ra-able@pa.gov with the subject line “Division of Adult Education grant competition.” In the body of the email, prospective applicants should state the agency name and identify which Division of Adult Education grant the agency plans to apply for. Prior to sending the email, the applicant should search for the agency record in the PDE Education Names and Addresses ([EdNA](#)) database.
 - a. Agencies found in EdNA should include in their email their Administrative Unit Number (AUN) and contact information for the individual at the agency who will create and complete the grant application.
 - b. Agencies not listed in EdNA should indicate in the email that they are not in the state database and provide contact information for the agency administrator who will work with Division staff to establish a listing and be assigned an AUN.
 - c. Under extraordinary circumstances affecting an agency's access to the eGrants system, the requirement to submit the grant application via eGrants may be waived. Eligible applicants who believe they have such circumstances should contact the Division of Adult Education at ra-able@pa.gov prior to the application due date to discuss an alternative submission process. Please note that all applications must be received by PDE by the deadline regardless of submission process.
3. For the purpose of planning, writing, and submitting applications, this document should be used in conjunction with information available on the Division of Adult Education Grant Competitions webpage. Applicants should also review this information in conjunction with the Division guidelines and policies and the eGrants application to develop a complete understanding of the intent and requirements of the application process.
4. Applicants must submit questions regarding the Tutoring Program for Adults grant application process to ra-able@pa.gov. Division of Adult Education staff will periodically post responses to submitted questions on the Division of Adult Education Grant Competitions webpage for all applicants to review.
5. Application Deadline: Eligible applicants must submit their proposal to PDE in eGrants by 2:00 PM on May 23, 2022. To submit the proposal, applicants must mark all sections complete (evidenced by a check mark next to each section) and then click the complete button. Completion will be determined by the time the eGrants system records that the program completed the application by marking all sections complete and clicking the “Complete” button.

GRANT TIMELINE

Dates	Activity
April 29, 2022	Grant Application Guidelines and Related Information Available
May 2, 2022	Tutoring Program for Adults Application Available (open)
May 23, 2022, 2:00 PM	Tutoring Program for Adults Completion Deadline (close)

AWARD WINNERS

To complete the grant award process, applicants under consideration for acceptance are required to provide proper signatures to the grant agreement and complete the Funding Accountability and Transparency form located in the grant in eGrants.

All contract components are produced by eGrants. Each will be accessed at the [eGrants website](#) and will consist of the following:

1. Grant Agreement Signature Page;
2. Appendix A – Special Program Terms;
3. Appendix B – Grantee’s Program Narrative(s) and Budget(s); and
4. Appendix C – Payment Terms, Responsibilities and Contact Information.

Grant Agreement

1. The Grant Agreement is a binding agreement between PDE and the eligible grant award recipient. The beginning and ending date of the project, total amount of funds, and project number will appear on the grant agreement.
2. There must be an electronic signature or a manual signature in blue ink on the original copy of the Grant Agreement. Stamped signatures are not acceptable on the original copy.
3. Agencies approved for eSignature can electronically sign the Grant Agreement. The authorized signatory must be in the eGrants system in order to electronically sign contracts.
4. Agencies not approved for electronic signatures must print the first page of the grant agreement from eGrants, have it signed in blue ink by the authorized representative(s) and mail it to the Division. The signature page should arrive no later than ten business days after the grant is submitted. All manually signed grant agreement signature pages should be mailed to:

Pennsylvania Department of Education
Bureau of Postsecondary and Adult Education
Division of Adult Education
333 Market Street, 12th Floor
Harrisburg, PA 17126-0333

- The authorized signer(s) must be (an) authorized representative(s) of the agency as described below:

Agency	One Authorized Representative	Two Authorized Representatives
School District	Superintendent	
Intermediate Unit	Executive Director	
Career & Technical School	Director	
Charter School	Chief Executive Officer	
University, College, or Community College	President	
Public Library	Director	
Community-Based Organizations and Corporations		President or Vice-President AND Secretary or Treasurer

- Changes to the grant agreement language will require review and approval by PDE, Office of Chief Counsel, the Office of General Counsel, and the Attorney General’s office and will cause delays in approving the agreement.
- Per Management Directive 215.9, amended October 15, 2015, staff of the PDE Division of Adult Education will determine whether the applicant has delinquent tax debt or other conditions as specified in the State Contractor Responsibility Program. Any related issues must be resolved before the grant agreement will be reviewed and approved.
- Applications recommended by Division staff for approval are then reviewed by the Deputy Secretary, the Office of Chief Counsel, and the Comptroller’s Office. Upon approval from the Comptroller’s Office, the contract is considered fully executed. The fully executed contract with supporting documentation is uploaded to the Treasury website and to the final reporting section of the grant in eGrants. The status of an application can be verified in the eGrants system. The status will change to “Completed” once the grant is fully executed.
- After complete review and approval by PDE and the Comptroller’s Office, an approved and fully executed grant agreement will be available in eGrants.

Funding Accountability and Transparency

Grantees must complete the Funding Accountability and Transparency (FAAT) form located in eGrants.

- Grantee must maintain current registration in the [System for Award Management](#) (SAM) at all times during which they have active federal awards funded pursuant to this agreement. A Unique Entity Identifier (UEI) number is required for registration in SAM.
- The applicant must complete the FAAT form that is located in eGrants.
- The following information is required on the FAAT form: (1) UEI number; (2) city, state, and zip code +4 digit extension of the primary location, and (3) compensation of officers is necessary if grantee received more than 80 percent of federal funds in the preceding fiscal year.

4. The commonwealth will not process a grant until the grantee provides this information.

Program Planning Documents

Successful applicants will be required to upload a technology/equipment inventory. No grant will be approved until the applicant has submitted documentation that meets Division approval.

General Program and Fiscal Requirements

Award winners are subject to all applicable state and federal administrative requirements, cost principles, and audit requirements, which are incorporated into each grant award by reference.

Such requirements include, but are not limited to:

1. *Adult Education and Family Literacy Guidelines*
2. [All Bureau of Postsecondary and Adult Education, Division of Adult Education policies](#)
3. [PDE Master Standard Terms and Conditions](#)
4. [Education Department General Administrative Regulations \(EDGAR\) 34 Code of Federal Regulation \(CFR\) Parts 75, 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99 as amended on December 19, 2014](#)
5. [Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards](#)

APPENDIX

Scoring Summary

Section	Maximum Score
Proposed Service Area and Description of Need	14
Agency Structure and Capacity	22
Tutor Recruitment, Training, and Management	34
Student Support and Instruction	32
Past Effectiveness	18
Budget Narrative	12
Agency Information	6
Total	138

Minimum acceptable score = 97/138